

APPENDIX C

FILE NO. 1007



CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 11, 2004

PTAS

PETER I. LIPPMAN
4385 OCEAN VIEW BLVD.
MONTROSE, CA 91020



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COLEMAN SUDOL SAPONE, P.C.

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2003

REEL/FRAME: 013731/0071
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:
ORSER, CINDY

DOC DATE: 09/20/2002

ASSIGNEE:
DAVIDSON, EUGENE A.

DOC DATE: 09/20/2002

ASSIGNEE:
ARETE ASSOCIATES
5000 VAN NUYS BLVD.
SHERMAN OAKS, CALIFORNIA 91403

SERIAL NUMBER: 10161061
PATENT NUMBER:

FILING DATE: 05/30/2002
ISSUE DATE:

013731/0071 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FEB 05 2003

FORM PTO-1505
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OMB No. 0651-0011 (exp. 4/94)

RECORDAT

PA

Tab settings □ □ □ □ □

To the Honorable Commissioner of Patents and Tm.

1. Name of conveying party(ies):

Cindy Orser

Eugene A. Davidson

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other _____

Execution Date: September 20, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/161,061

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter I. Lippman

Internal Address: _____

Street Address: 4385 Ocean View Blvd

City: Montrose State: CA ZIP 91020

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40

 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

12-11039

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Holly B. Aguilera

Name of Person Signing

Holly Aguilera

Signature

1/03/02

Date

03/01/2003 10:00 AM 121639 10 Right Number of pages including cover sheet, attachments, and document:

31 FC:8021

40.00 CH

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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ASSIGNMENT

We, CINDY ORSER, of 915 Ridge Drive, McLean, Virginia 22101, ANNE GROSSET, of 265 Route de Bardonneux, 1257 La Croix-de-Rozon, SWITZERLAND, and EUGENE A. DAVIDSON, of 5506 Nebraska Avenue NW, Washington, DC 20015, for a good and valuable consideration, have assigned and do hereby assign to ARETE ASSOCIATES, a corporation of California having its principal offices at 5000 Van Nuys Boulevard, suite 420, Sherman Oaks, California 91403, its successors, assigns and legal representatives, our entire right, title and interest in and to all subject matter invented by us and disclosed in the Application for United States patent filed May 30, 2002, given serial 10/161,061, and entitled:

"MISFOLDED PROTEIN SENSOR METHOD IN BODY FLUIDS",

and in and to all patents and all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure all said patents and rights, and request issuance of all said patents to the above assignee in accordance with this assignment.

September 20, 2002
date

Cindy Orser
Cindy Orser

BFG
CSE
BL

September 20, 2002
date

Anne Grosset

September 20, 2002
date

Eugene A. Davidson
Eugene A. Davidson

District of Columbia BFG
STATE OF Virginia)
COUNTY OF Washington, DC) ss

On this 20th day of September, 2002, before me personally appeared CINDY ORSER, to me known or proved on the basis of satisfactory evidence to be the person whose name is subscribed on the foregoing instrument, and acknowledged to me that she executed the same.

Brenda Fay Garner My Commission Expires
notary public April 14, 2006

BFG
STATE OF District of Columbia)
COUNTY OF Washington, DC) ss

On this 20th day of September, 2002, before me personally appeared EUGENE DAVIDSON, to me known or proved on the basis of satisfactory evidence to be the person whose name is subscribed on the foregoing instrument, and acknowledged to me that he executed the same.

Brenda Fay Garner My Commission Expires
notary public April 14, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): ORSER et al.
SERIAL NO.: 10/161,061
FILED: May 30, 2002
FOR: Misfolded Protein Sensor Method in Body Fluids
GROUP ART UNIT: 1754
EXAMINER:



M.S. Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF ASSIGNMENT RECORDATION

SIR:

Please correct the serial number (circled in red ink) on the Notice of Recordation of Assignment Document for the above-identified patent application. The serial number was correct on the Recordation Cover Sheet (10/161,061). Since this was not our error, we assume there is no charge for the correction.

Note that the law firm responsible for this patent application has changed to Coleman Sudol Sapone, P.C.

Respectfully submitted,

Coleman Sudol Sapone, P.C.

By:

Henry D. Coleman

Reg. No. 32,559

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COPIES
SERIALIZED
FILED
MAY 2002
U.S. PATENT & TRADEMARK OFFICE
CPR/FLM/MS

Dated: March 3, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on March 3, 2004.

Harold Hull

Harold Hull